

**G.S. 150B-21.3A Report for 18 NCAC 12, LOBBYING**

Agency - Department of the Secretary of State

Comment Period - April 2, 2024 - June 3, 2024

Date Submitted to APO - Filled in by RRC staff

Subchapter	Rule Section	Rule Citation	Rule Name	Date and Last Agency Action on the Rule	Agency Determination [150B-21.3A(c)(1)a]	Required to Implement or Conform to Federal Regulation [150B-21.3A(d1)]	Federal Regulation Citation	Public Comment Received [150B-21.3A(c)(1)]	Agency Determination Following Public Comment [150B-21.3A(c)(1)]
	<b>SECTION .0100 – GENERAL</b>	18 NCAC 12 .0101	SCOPE	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0102	CALCULATION OF TIME PERIODS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0103	CALCULATION OF QUARTERLY REPORTING PERIOD	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0104	CALCULATION OF MONTHLY REPORTING PERIOD	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0105	WAIVER	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0106	FACTORS FOR WAIVERS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
	<b>SECTION .0200 – FORMS COMPLETION</b>	18 NCAC 12 .0201	MANDATORY USE OF DEPARTMENTAL FORMS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0202	FILING MAY BE ELECTRONIC OR PAPER	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0203	DOCUMENT COMPLETION REQUIREMENTS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0204	ITEMS WHICH ARE NOT APPLICABLE TO THE PERSON OR ENTITY COMPLETING A FORM	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0205	COMPLETE NAME OF PERSON OR ENTITY REQUIRED	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0206	DOCUMENT SIGNATURE REQUIRED	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0207	SIGNATURE FOR ENTITY	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary

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		18 NCAC 12 .0208	ELECTRONIC SIGNATURE	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0209	FORM PREPARER SIGNATURE REQUIRED	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0210	WHEN FORM PREPARER SIGNATURE NOT REQUIRED	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0211	SIGNING PURSUANT TO POWER OF ATTORNEY	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0212	SIGNING AND EXECUTING A FORM UNDER OATH	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0213	SIGNATURE VERIFIES INFORMATION IS TRUE	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0214	SIGNATURE AND EXECUTION UNDER OATH OF AN ELECTRONICALLY FILED DOCUMENT	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0215	CONTENTS OF AFFIDAVIT FOR ELECTRONIC FILING WITHOUT ELECTRONIC NOTARIZATION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0216	CONSEQUENCE OF FAILURE TO DELIVER AFFIDAVIT	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
	<b>SECTION .0300 – SUBMISSION, REVIEW, AMENDMENT, AND CORRECTION OF DOCUMENTS</b>	18 NCAC 12 .0301	GENERAL SUBMISSION LOCATIONS AND METHODS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0302	FILING BY UNITED STATES MAIL	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0303	FILING BY HAND-DELIVERY OR BY DESIGNATED DELIVERY SERVICE	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary

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		18 NCAC 12 .0304	FILING BY ELECTRONIC MAIL	Amended Eff. October 1, 2019	Necessary	No		No	Necessary
		18 NCAC 12 .0305	FILING ELECTRONICALLY ON THE DEPARTMENT'S WEBSITE	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0306	NO FILING BY FACSIMILE IF FEE REQUIRED	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0307	CIRCUMSTANCES IN WHICH FILING BY FAX PERMITTED	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0308	SUBMISSION OF ORIGINAL AFTER FILING BY FAX	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0309	REJECTION OF INCOMPLETE FORMS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0310	DOCUMENT SUBMISSION DATE AND TIME	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0311	DOCUMENT RECEIVED	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0312	PROOF OF SUBMISSION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0313	GROUND FOR DEPARTMENT REJECTION OF SUBMITTED DOCUMENT	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0314	PRINCIPAL'S AUTHORIZATION STATEMENT OMISSIONS REQUIRING CORRECTION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0315	OMISSIONS FROM REPORT UNDER OATH REQUIRING CORRECTION WITHIN ONE BUSINESS DAY	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0316	OMISSIONS REQUIRING CORRECTION WITHIN SEVEN DAYS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary

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		18 NCAC 12 .0317	EFFECTIVE DATE OF COMPLETE SUBMISSION OF DOCUMENT	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0318	REJECTED FILINGS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0319	EFFECTIVE DATE OF LATE DOCUMENT	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0320	PROCESS FOR AMENDING A DOCUMENT	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0321	NOTARIZATION OF AMENDED QUARTERLY DOCUMENT	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0322	EFFECT OF AMENDED DOCUMENT	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
	<b>SECTION .0400 – FEES</b>	18 NCAC 12 .0401	GENERAL	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0402	FORM OF PAYMENT	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0403	RETURN OF FEE INSTRUMENT BY ISSUING INSTITUTION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
	<b>SECTION .0500 - ECONOMIC INFORMATION CONFIDENTIALITY PROTECTION</b>	18 NCAC 12 .0501	REQUEST FOR PROTECTION OF CONFIDENTIALITY	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0502	TIMING OF SUBMISSION OF REQUEST FOR PROTECTION OF CONFIDENTIAL INFORMATION FROM	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0503	CONSEQUENCES OF FAILURE TO REQUEST PROTECTION OF CONFIDENTIAL INFORMATION FROM	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary

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		18 NCAC 12 .0504	ONE DESIGNATION FORM PER ACTIVITY	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0505	ECONOMIC INFORMATION PROTECTION FORMS SHALL BE USED TO REQUEST ECONOMIC INFORMATION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0506	PRINCIPAL'S ECONOMIC INFORMATION PROTECTION AUTHORIZATION FORM	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0507	PRINCIPAL'S ECONOMIC INFORMATION PROTECTION REGISTRATION FORM	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0508	PRINCIPAL'S ECONOMIC INFORMATION PROTECTION MONTHLY OR QUARTERLY REPORT	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0509	LOBBYIST'S ECONOMIC INFORMATION PROTECTION MONTHLY OR QUARTERLY REPORT	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0510	PRINCIPAL'S AUTHORIZATION FOR LOBBYING UNRELATED TO ECONOMIC INFORMATION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0511	LOBBYIST REGISTRATION FOR LOBBYING UNRELATED TO ECONOMIC INFORMATION PROTECTION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0512	PRINCIPAL'S DOCUMENTS UNRELATED TO ECONOMIC INFORMATION PROTECTION REQUEST	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0513	LOBBYIST'S DOCUMENTS UNRELATED TO ECONOMIC INFORMATION PROTECTION REQUEST	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0514	REJECTION OF NON-ECONOMIC DESIGNATION INFORMATION - GENERAL	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0515	CORRECTION WITHIN SEVEN BUSINESS DAYS OF ECONOMIC INFORMATION PROTECTION FILING	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary

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		18 NCAC 12 .0516	METHOD OF CORRECTING DOCUMENT CONTAINING INFORMATION NOT ENTITLED TO ECONOMIC	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0517	REJECTION OF UNCORRECTED DOCUMENT CONTAINING INFORMATION NOT ENTITLED TO	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0518	CONTENTS OF ECONOMIC INFORMATION PROTECTION REQUEST	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0519	DESCRIPTION OF THE ECONOMIC DEVELOPMENT ACTIVITY ENTITLED TO PROTECTION FROM	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0520	IDENTIFICATION OF AUTHORIZED INDIVIDUAL	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0521	DESIGNATION OF AUTHORIZED STATE OR LOCAL GOVERNMENT EMPLOYEE, OFFICIAL, OR PUBLIC SERVANT	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0522	CONFIRMATION SIGNATURE	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0523	VERIFICATION BY GOVERNMENT OFFICIAL SIGNATURE	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0524	VERIFICATION BY AUTHORIZED OFFICIAL SIGNATURE	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0525	DEPARTMENT ACTION UPON RECEIPT OF RELEASE OR NOTIFICATION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0526	DEPARTMENT ACTION UPON RECEIPT OF INFORMATION INDICATING PREVIOUSLY CONFIDENTIAL	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0527	PRINCIPAL AND AUTHORIZED GOVERNMENT OFFICIAL RESPONSE	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary

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		18 NCAC 12 .0528	CONFIRMATION OF ANNOUNCEMENT BY PRINCIPAL AND AUTHORIZED GOVERNMENT	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0529	CONFIRMATION THAT RELEASE IS AUTHORIZED	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0530	CONDITIONS WHEN RESPONSE TO DEPARTMENT CONTACT RESULTS IN RELEASE	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0531	CONDITIONS WHEN RESPONSE TO DEPARTMENT CONTACT RESULTS IN CONTINUED PROTECTION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0532	CONDITIONS WHEN RESPONSE TO DEPARTMENT CONTACT MAY RESULT IN CONTINUED PROTECTION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0533	SECRETARY OF COMMERCE DESIGNATION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0535	SECRETARY OF COMMERCE DEFAULT DESIGNATION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0536	CONTINUATION OF ECONOMIC INFORMATION PROTECTION FROM PREMATURE DISCLOSURE	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0537	RELEASE OF INFORMATION TO THE DEPARTMENT OF COMMERCE	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0538	CERTIFICATION PROCESS INVOLVING THE DEPARTMENT OF COMMERCE	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0539	DEPARTMENT OF COMMERCE CERTIFICATION OF STATUS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0540	CONTINUATION SHALL INCLUDE CERTIFICATION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0541	ANNUAL CERTIFICATION OF ECONOMIC PROTECTION DESIGNATION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary

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		18 NCAC 12 .0542	EXTENSION OF CERTIFICATION WITHOUT RE-REGISTRATION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0543	AUTHORIZED GOVERNMENT OFFICIAL CAN EXTEND CERTIFICATION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0544	AUTHORIZED GOVERNMENT OFFICIAL FILE RELEASE FORM	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0545	CONTENTS OF RELEASE	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
	<b>SECTION .0600 - GENERAL REGISTRATION REQUIREMENTS</b>	18 NCAC 12 .0601	MATTERS ON WHICH THE REGISTRANT EXPECTS TO ACT AS LOBBYIST	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0602	REPORTING OF CHANGES IN MATTERS ON WHICH THE REGISTRANT EXPECTS TO ACT AS A LOBBYIST	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0603	END OF LOBBYIST AND PRINCIPAL RELATIONSHIP	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0604	SUBSEQUENT REGISTRATION IN SAME YEAR	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0605	RESIGNATION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0606	ENDING OF LOBBYIST-PRINCIPAL RELATIONSHIP DOES NOT END REPORTING OBLIGATION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
	<b>SECTION .0700 - DISCLOSURE OF LOBBYIST AND PRINCIPAL IDENTITY</b>	18 NCAC 12 .0701	LOBBYIST DISCLOSURE OF IDENTITY OF PRINCIPAL TO DEPARTMENT	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0703	CONSEQUENCES OF FAILURE TO DISCLOSE THE PRINCIPAL IN FACT ON THE REGISTRATION FORM	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary

**G.S. 150B-21.3A Report for 18 NCAC 12, LOBBYING**

Agency - Department of the Secretary of State

Comment Period - April 2, 2024 - June 3, 2024

Date Submitted to APO - Filled in by RRC staff

Subchapter	Rule Section	Rule Citation	Rule Name	Date and Last Agency Action on the Rule	Agency Determination [150B-21.3A(c)(1)a]	Required to Implement or Conform to Federal Regulation [150B-21.3A(d1)]	Federal Regulation Citation	Public Comment Received [150B-21.3A(c)(1)]	Agency Determination Following Public Comment [150B-21.3A(c)(1)]
		18 NCAC 12 .0705	LOBBYIST IDENTIFICATION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0706	DISCLOSURE TO A DESIGNATED INDIVIDUAL OF THE IDENTITY OF THE PRINCIPAL BY THE LOBBYIST	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0707	DISCLOSURE TO A DESIGNATED INDIVIDUAL OF THE IDENTITY OF THE PRINCIPAL BY A LOBBYIST WHO REPRESENTS MORE THAN ONE PRINCIPAL	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0708	CONTENTS OF DISCLOSURE OF THE IDENTITY OF THE SPECIFIC PRINCIPAL(S) FOR WHOM LOBBYING BY A LOBBYIST WHO REPRESENTS MORE THAN ONE PRINCIPAL	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
	<b>SECTION .0800 - LOBBYIST REPORTING</b>	18 NCAC 12 .0801	QUARTERLY REPORT MAY INCLUDE LAST MONTH OF QUARTER REPORT	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	Select One		No	Necessary
		18 NCAC 12 .0802	QUARTERLY REPORT MAY INCORPORATE SEPARATELY FILED MONTHLY REPORTS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0803	QUARTERLY REPORT VERIFICATION OF MONTHLY REPORT INFORMATION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0805	REPORTING OF CONTRACTS IN THE NORMAL CONDUCT OF DAILY LIFE	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
	<b>SECTION .0900 - REPORTING BY PRINCIPAL</b>	18 NCAC 12 .0901	METHOD OF REPORTING COMPENSATION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0902	COMPREHENSIVE REPORTING	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0903	SEPARATE LOBBYIST COMPENSATION REPORTS MAY BE INCLUDED ON SAME FORM	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary

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Subchapter	Rule Section	Rule Citation	Rule Name	Date and Last Agency Action on the Rule	Agency Determination [150B-21.3A(c)(1)a]	Required to Implement or Conform to Federal Regulation [150B-21.3A(d1)]	Federal Regulation Citation	Public Comment Received [150B-21.3A(c)(1)]	Agency Determination Following Public Comment [150B-21.3A(c)(1)]
		18 NCAC 12 .0905	QUARTERLY REPORT MAY INCLUDE LAST MONTH OF QUARTER REPORT	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0906	QUARTERLY REPORT MAY INCORPORATE SEPARATELY FILED MONTHLY REPORTS BY REFERENCE	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0907	QUARTERLY REPORT VERIFICATION OF MONTHLY REPORT INFORMATION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .0910	REPORTING OF CONTRACTS IN THE NORMAL CONDUCT OF DAILY LIFE	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
	<b>SECTION .1000 – SOLICITORS</b>	18 NCAC 12 .1001	SOLICITOR REGISTRATION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Unnecessary	No		No	Unnecessary
		18 NCAC 12 .1002	WHEN REGISTRATION WITH THE DEPARTMENT IS REQUIRED	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Unnecessary	No		No	Unnecessary
		18 NCAC 12 .1003	CALCULATION OF PRODUCTION COSTS IN ORDER TO DETERMINE REGISTRATION AND REPORTING APPLICABILITY	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Unnecessary	No		No	Unnecessary
		18 NCAC 12 .1004	CALCULATION OF TRANSMISSION COSTS IN ORDER TO DETERMINE REGISTRATION AND REPORTING APPLICABILITY	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Unnecessary	No		No	Unnecessary
		18 NCAC 12 .1005	CALCULATION OF EVENT COSTS IN ORDER TO DETERMINE REGISTRATION AND REPORTING APPLICABILITY	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Unnecessary	No		No	Unnecessary
		18 NCAC 12 .1006	REPORTING FOR REMAINDER OF YEAR OF REGISTRATION AS SOLICITOR	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Unnecessary	No		No	Unnecessary
		18 NCAC 12 .1007	REPORT CONTENTS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Unnecessary	No		No	Unnecessary
		18 NCAC 12 .1008	CONTRACTS REPORTABLE	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Unnecessary	No		No	Unnecessary

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Agency - Department of the Secretary of State

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Subchapter	Rule Section	Rule Citation	Rule Name	Date and Last Agency Action on the Rule	Agency Determination [150B-21.3A(c)(1)a]	Required to Implement or Conform to Federal Regulation [150B-21.3A(d1)]	Federal Regulation Citation	Public Comment Received [150B-21.3A(c)(1)]	Agency Determination Following Public Comment [150B-21.3A(c)(1)]
	<b>SECTION .1200 – CONFIDENTIALITY AND RECORDS</b>	18 NCAC 12 .1201	REPORTING OF CONFIDENTIAL INFORMATION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .1202	GENERAL REQUIREMENTS RELATING TO PROTECTIVE ORDER PAYEES AND ADDRESS CONFIDENTIALITY	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .1203	CONFIDENTIALITY REQUEST CONTENTS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .1204	DISCLOSURE OF CONFIDENTIAL INFORMATION IN G.S. 120C-401 FILINGS FOR WHICH	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
	<b>SECTION .1300 – PRESERVATION OF RECORDS</b>	18 NCAC 12 .1301	GENERAL REQUIREMENTS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .1302	RECORD RETENTION REQUIREMENT WHEN INVESTIGATION IS OPEN	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .1303	THREE YEAR TIME PERIOD FOR MAINTENANCE OF RECORDS RELATED TO INVESTIGATIONS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .1304	REQUIREMENT FOR ORIGINAL OR EQUIVALENT	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .1305	LOBBYIST'S COMPENSATION RECORDS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .1306	PRINCIPAL'S RECORDS OF LOBBYIST'S COMPENSATION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .1307	MAINTENANCE OF PRINCIPAL'S RECORDS RELATED TO METHOD OF EXPENDITURE CALCULATION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .1308	LOBBYIST'S MAINTENANCE OF RECORDS RELATED TO METHOD OF EXPENDITURE CALCULATION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .1309	SOLICITOR'S MAINTENANCE OF RECORDS RELATED TO METHOD OF EXPENDITURE CALCULATION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Unnecessary	No		No	Unnecessary

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		18 NCAC 12 .1310	PRINCIPAL'S MAINTENANCE OF RECORDS Regarding ALLOCATION OF LOBBYING AND NON-LOBBYING	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .1311	LOBBYIST MAINTENANCE OF RECORDS REGARDING ALLOCATION OF LOBBYING AND NON-LOBBYING COMPENSATION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .1312	LIAISON'S RECORDS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .1313	RECORDS OF FILERS PURSUANT TO G.S. 120C-800(a) OR G.S. 120C-800(c)	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .1314	RECORD RETENTION BY FILERS PURSUANT TO G.S. 120C-800(a) OR 120C-800(c) REQUIREMENT WHEN AN	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .1315	THREE YEAR TIME PERIOD FOR MAINTENANCE OF RECORDS RELATED TO INVESTIGATIONS FOR	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .1316	REQUIREMENT FOR ORIGINAL OR EQUIVALENT FOR PERSONS WHO ARE REQUIRED OR PERMITTED AND DID FILE PURSUANT TO G.S. 120C-800(a) OR G.S. 120C-800(c)	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .1317	RECORDS OF PERSONS PERMITTED TO FILE PURSUANT TO G.S. 120C-800(b) OR G.S. 120C-800(d)	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .1318	RECORD RETENTION REQUIREMENT OF FILERS PURSUANT TO G.S. 120C-800(b) OR 120C-800(d) WHEN AN INVESTIGATION IS OPEN	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .1319	THREE YEAR TIME PERIOD FOR MAINTENANCE OF RECORDS RELATED TO INVESTIGATIONS FOR	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .1320	REQUIREMENT FOR ORIGINAL OR EQUIVALENT FOR PERSONS WHO ARE PERMITTED TO FILE AND DID	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary

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	SECTION .1400 – DEPARTMENT PROVISION OF LISTS TO DESIGNATED	18 NCAC 12 .1401	FURNISHING LOBBYIST LISTS TO DESIGNATED INDIVIDUALS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary
		18 NCAC 12 .1403	REJECTION OF ELECTRONICALLY FURNISHED LIST	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 23, 2017	Necessary	No		No	Necessary